

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

**THIS PAGE BLANK (USPTO)**



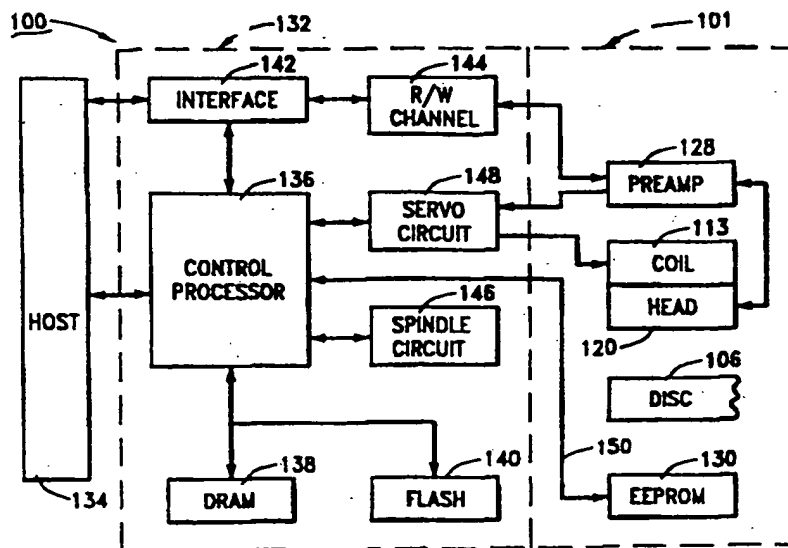
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

|   |  |   |  |
|---|--|---|--|
| (51) International Patent Classification <sup>6</sup> :<br><b>G11B 25/04, 33/10</b>   |  | A1  | (11) International Publication Number: <b>WO 99/16073</b>    |
|   |  |   | (43) International Publication Date: 1 April 1999 (01.04.99) |
| (21) International Application Number: <b>PCT/US98/11556</b>  |  | (81) Designated States: CN, DE, GB, JP, KR, SG. |  |
| (22) International Filing Date: 3 June 1998 (03.06.98)  |  | Published<br>With international search report.  |  |
| (30) Priority Data:<br>60/059,760 23 September 1997 (23.09.97) US<br>08/995,567 22 December 1997 (22.12.97) US  |  |   |  |
| (71) Applicant: SEAGATE TECHNOLOGY, INC. [US/US]; 920 Disc Drive, Scotts Valley, CA 95067-0360 (US).  |  |   |  |
| (72) Inventors: FISH, Wilson, Massey; 605 Coyote Court, Yukon, OK 73099 (US). PRUITT, David, Christopher; 6118 N. Meridian Avenue #618, Oklahoma City, OK 73112 (US). |  |   |  |
| (74) Agents: McCARTHY, Bill, D.; McCarthy, Free & McCarthy, Suite 250, 101 Park Avenue, Oklahoma City, OK 73102 (US) et al.   |  |   |  |

(54) Title: PRODUCT INFORMATION IDENTIFICATION IN A HEAD DISC ASSEMBLY

## (57) Abstract

An apparatus and associated method are disclosed for improving the operational performance of a disc drive (100) through the identification of vital product information associated with a head-disc assembly (HDA) (101) of the disc drive. The disc drive comprises a printed wiring assembly (PWA) (132) housing control circuitry (136, 138, 140, 142, 144, 146, 148) for the disc drive. The HDA is operably coupled to the printed wiring assembly and includes a rotatable disc (106) to which data are written by a controllably positionable head (120). A non-volatile memory device (130) is mounted on the HDA and operably coupled to the PWA so that, when the disc drive is initialized, the PWA verifies the configuration of the HDA (202, 204, 206) before proceeding with the initialization of the disc drive, thereby preventing errors and damage to the disc drive as a result of the installation of a new, replacement PWA in the disc drive, or the down-loading of new, incorrect firmware to the PWA.



**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

|    |                          |    |  |    |  |    |                          |
|----|--------------------------|----|--|----|--|----|--------------------------|
| AL | Albania                  | ES | Spain                                    | LS | Lesotho                                      | SI | Slovenia                 |
| AM | Armenia                  | FI | Finland                                  | LT | Lithuania                                    | SK | Slovakia                 |
| AT | Austria                  | FR | France                                   | LU | Luxembourg                                   | SN | Senegal                  |
| AU | Australia                | GA | Gabon                                    | LV | Latvia                                       | SZ | Swaziland                |
| AZ | Azerbaijan               | GB | United Kingdom                           | MC | Monaco                                       | TD | Chad                     |
| BA | Bosnia and Herzegovina   | GE | Georgia                                  | MD | Republic of Moldova                          | TG | Togo                     |
| BB | Barbados                 | GH | Ghana                                    | MG | Madagascar                                   | TJ | Tajikistan               |
| BE | Belgium                  | GN | Guinea                                   | MK | The former Yugoslav<br>Republic of Macedonia | TM | Turkmenistan             |
| BF | Burkina Faso             | GR | Greece                                   | ML | Mali   | TR | Turkey                   |
| BG | Bulgaria                 | HU | Hungary                                  | MN | Mongolia                                     | TT | Trinidad and Tobago      |
| BJ | Benin                    | IE | Ireland                                  | MR | Mauritania                                   | UA | Ukraine                  |
| BR | Brazil                   | IL | Israel                                   | MW | Malawi                                       | UG | Uganda                   |
| BY | Belarus                  | IS | Iceland                                  | MX | Mexico                                       | US | United States of America |
| CA | Canada                   | IT | Italy                                    | NE | Niger  | UZ | Uzbekistan               |
| CF | Central African Republic | JP | Japan                                    | NL | Netherlands                                  | VN | Viet Nam                 |
| CG | Congo                    | KE | Kenya                                    | NO | Norway                                       | YU | Yugoslavia               |
| CH | Switzerland              | KG | Kyrgyzstan                               | NZ | New Zealand                                  | ZW | Zimbabwe                 |
| CI | Côte d'Ivoire            | KP | Democratic People's<br>Republic of Korea | PL | Poland                                       |    |                          |
| CM | Cameroon                 | KR | Republic of Korea                        | PT | Portugal                                     |    |                          |
| CN | China                    | KZ | Kazakhstan                               | RO | Romania                                      |    |                          |
| CU | Cuba                     | LC | Saint Lucia                              | RU | Russian Federation                           |    |                          |
| CZ | Czech Republic           | LI | Liechtenstein                            | SD | Sudan  |    |                          |
| DE | Germany                  | LK | Sri Lanka                                | SE | Sweden                                       |    |                          |
| DK | Denmark                  | LR | Liberia                                  | SG | Singapore                                    |    |                          |
| EE | Estonia                  |    |  |    |  |    |                          |

## PRODUCT INFORMATION IDENTIFICATION IN A HEAD DISC ASSEMBLY

### Field of the Invention

5           The present invention relates generally to the field of disc drive devices and more particularly, but without limitation, to improving the operational performance of a disc drive through the identification of vital product information associated with a head disc assembly (HDA) of the disc drive.

### 10       Background

          Disc drives are used as primary data storage devices in modern computers and computer networks. A typical disc drive includes a head-disc assembly (HDA) housing five to ten magnetic discs which are rotated by a spindle motor at a constant high speed and accessed by an array of read/write heads which store  
15       data on tracks defined on the disc surfaces. Electronics used to control the operation of the HDA are provided on a printed wiring assembly (also referred to as a "circuit board" or "card") which is mounted to the underside of the HDA.

          Technological advancements in the art have resulted in continued improvements in disc drive data storage capacities. It has not been at all  
20       uncommon for each successive generation of drives to provide substantially twice the data storage capacity as the previous generation, at an equal or reduced data transfer rate. Design cycle times are also being shrunk to the point that a new generation of drives is typically introduced into the marketplace every few months.

25           To meet the tremendous technical and logistical challenges of remaining competitive in the present market, disc drive manufacturers have taken steps to design and release new disc drive products as fast and efficiently as possible. Of particular interest is component standardization, which involves the standardization of disc drive designs so that a wide variety of disc drive products  
30       from a single product family can be provided with the same or similar hardware

-2-

components. The performance of each individual drive is then optimized during manufacturing through the selection of parameter values (such as write current, gain, filter characteristics, etc.) which are subsequently loaded and used by the drive during operation.

5           As modern disc drives typically employ firmware to provide programming for disc drive controllers (such as microprocessors and digital signal processors), manufacturers further commonly load substantially the same firmware on all of the drives in the same disc drive product family. The firmware relies upon additionally stored information indicative of the particular  
10 configuration of the drive (such as the number of discs, the data storage capacity, the type of interface, etc.) to access particular routines suitable for each particular disc drive configuration, and loads the parameters established during manufacturing to optimize the performance of the drive.

          A typical disc drive includes one or more non-volatile memory devices  
15 (i.e., memory devices that retain their contents when external power is removed from the drive) to which the firmware is written. The parameter values and product information are also written to such devices during the manufacture of the drive. The devices are located on the disc drive printed wiring assembly (PWA) and are accessed during each initialization of the drive.

20           A problem can thus arise when an originally installed PWA is removed from a disc drive and replaced with a new, replacement PWA. Such replacements commonly occur during manufacturing and field service operations in response to, for example, the detection of a failure condition associated with the originally installed PWA. Unless steps are explicitly taken to ensure that the  
25 parameter values and product information associated with the HDA are transferred from the originally installed PWA to the new, replacement PWA, an error condition may result when the disc drive is reinitialized using the new PWA. Particularly, the firmware stored on the new PWA may expect to see a different hardware configuration than that which the HDA actually possesses, or  
30 the parameter values stored on the new PWA may be inappropriate for reliable

-3-

operation of the drive.

Such mismatches in parameters and product information can result in unacceptable delays as a disc drive with a newly installed replacement PWA attempts to reinitialize. Moreover, when such mismatches in parameters and product information are sufficiently pronounced, the disc drive can be physically damaged when the drive attempts to spin-up and load the heads (i.e., operationally move the heads out over the disc surfaces). Although the optimal parameter values for an HDA are also typically stored on designated tracks of the HDA, such tracks cannot be accessed until after the disc drive has already successfully spun-up and loaded the heads.

Even when no replacement of the PWA occurs, problems can still arise when new, updated firmware is downloaded to the PWA, if the new firmware is incorrectly suited to the configuration of the HDA. Such firmware updates are often performed during field service operations, and although precautions are taken to ensure the correct firmware is downloaded, errors still occasionally occur. As will be recognized, a firmware-hardware mismatch can also result in the same types of problems that occur when an incorrectly configured PWA is installed.

Accordingly, there is a need for a way to readily indicate the product information associated with the HDA of a disc drive so as to eliminate problems when a new, replacement PWA is installed in the drive, or when new firmware is downloaded to the PWA.

#### Summary of the Invention

The present invention is directed to an apparatus and method for improving the operational performance of a disc drive through the identification of vital product information associated with a head-disc assembly of the disc drive.

In accordance with a preferred embodiment of the present invention, the disc drive is provided with a printed wiring assembly housing control circuitry

-4-

for the disc drive. The head-disc assembly of the disc drive is operably coupled to the printed wiring assembly and includes a rotatable disc to which data are written by a controllably positionable head.

5 A non-volatile memory device is mounted on the HDA and operably coupled to the PWA so that, when the disc drive is initialized, the PWA verifies the configuration of the HDA before proceeding with the initialization of the disc drive, thereby preventing errors and damage to the disc drive as a result of the installation of a new, replacement PWA in the disc drive, or the downloading of new, updated firmware to the PWA.

10 These and various other features as well as advantages which characterize the present invention will be apparent from a reading of the following detailed description and a review of the associated drawings.

#### Brief Description of the Drawings

15 FIG. 1 provides a top plan view of a head-disc assembly (HDA) of a disc drive constructed in accordance with a preferred embodiment of the present invention.

FIG. 2 provides a side, elevational view of a portion of an actuator assembly of the HDA of FIG. 1, showing an electrically erasable, electrically programmable read-only memory (EEPROM) used to store product information associated with the HDA.

20 FIG. 3 provides a functional block diagram of the disc drive of FIG. 1 operably mounted in a host computer, the diagram of FIG. 3 including portions of the HDA of FIG. 1 and electronic circuits associated with a printed wiring assembly (PWA) of the disc drive which is not separately shown in the drawings, but mounted to the underside of the HDA in a manner well known in the art.

25 FIG. 4 provides a flow chart for an INITIALIZATION routine carried out each time the disc drive is initialized, the routine representative of programming stored in memory and utilized by a control processor located on the disc drive PWA.

30



-5-

Detailed Description

Referring now to FIG. 1, shown therein is a top plan view of a disc drive 100 constructed in accordance with a preferred embodiment of the present invention.

5       The disc drive 100 includes a head-disc assembly (HDA) 101 and a disc drive printed wiring assembly (PWA) which is mounted to the underside of the HDA 101 to complete the disc drive 100. Although not shown in FIG. 1, the PWA provides control circuitry necessary to control the operation of the HDA 101 and to transfer data between the HDA 101 and a host computer in which the  
10       disc drive 100 can be mounted.

As shown in FIG. 1, the HDA 101 includes a base casting 102 which supports various components of interest and cooperates with a top cover (which has been omitted from FIG. 1) to form an internal, sealed environment for the disc drive 100. Mounted to the base casting 102 is a spindle motor 104 to which  
15       five magnetic discs 106 (only the top one of which is visible) are axially mounted for rotation at a constant high speed. A disc clamp 108 secures the discs 106 to the spindle motor 104.

A rotary actuator assembly 110 is mounted to the base casting 102 for rotation about a cartridge bearing assembly 112 in response to currents applied to  
20       a coil 113 of a voice coil motor (VCM) 114. The actuator assembly includes a plurality of rigid arms 116 which extend into the stack of discs 106 as shown, with each of the rigid arms 116 having an associated flexible suspension assembly 118 extending therefrom.

Heads 120 are affixed to each of the suspension assemblies 118. The  
25       heads 120 include read and write elements which magnetically interact with the disc recording surfaces. The heads 120 are further provided with slider assemblies which support the heads 120 upon air bearings established by wind currents set up by the high speed rotation of the discs 106.

A latch assembly 121 secures the actuator assembly 110 in a park position  
30       when the disc drive 100 is non-operational, and a flex assembly (generally

-6-

denoted at 122) is provided to enable the heads 120 and the coil 113 to electrically communicate with the disc drive PWA.

Of particular interest is a flex circuit board 124 of the flex assembly 122, which is mounted to the side of the actuator assembly 110 as shown. FIG. 2 provides a side, elevational view of a portion of the actuator assembly 110 and shows the flex circuit board 124 in greater detail. For purposes of clarity, head wires have been omitted from FIG. 2, but it will be understood that such wires are routed along each of the actuator arms 116 and terminate at pads 126 to which the head wires are soldered. Also for purposes of clarity, the discs 106 of FIG. 1 are not shown in FIG. 2, although it will be understood that the actuator arms 116 extend into the stack of discs 106 in a manner as previously depicted in FIG. 1.

The flex circuit board 124 includes a conventional preamp circuit 128 which operates to transfer data to and from the discs 106 by generating write currents that are passed (by way of the head wires) through the heads 120 during a write operation and detecting and amplifying read signals established by the heads 120 during a read operation. An example of a suitable preamp circuit is a VM61312 Magneto-Resistive Head Read/Write Preamplifier from VTC Inc., of Bloomington, Minnesota, USA. Conventional discrete components such as capacitors and resistors (one such component being identified at 129) are also provided on the flex circuit board 124 to provide filtering and capacitive decoupling as required.

Continuing with FIG. 2, the flex circuit board 124 is further shown to include a non-volatile memory device 130, hereinafter referred to as an electrically erasable, electrically programmable read-only memory, or EEPROM. As explained below, the EEPROM 130 electronically stores product information associated with the HDA 101 and is accessed by the disc drive PWA during the initialization of the disc drive 100. An example of a suitable EEPROM is a 24AA00 serial EEPROM from Microchip Technology, Inc., of Chandler, Arizona, USA, which comes in a five pin package and stores 16 bytes of data.

-7-

For reference, both the preamp circuit 128 and the EEPROM 130 have been shown in FIG. 2 as encapsulated in epoxy in a manner conventional in the art, in order to improve the operational characteristics of the flex circuit board 124. A suitable epoxy is part no. 4401 or 4451 (dam) and part no. 4450 (filler) supplied by the Dexter Corporation, Pittsburg, California, USA, sold under the United States federally registered trademark HYSOL®. Further, although the flex circuit board 124 is a particularly suitable location for the EEPROM 130, the present invention is not so limited; that is, the product information can be electronically stored elsewhere on the HDA 101, as desired.

Before discussing the operation of the EEPROM 130 in greater detail, it will be helpful to first review the main functional components of the disc drive 100 and FIG. 3 has been provided for this purpose. Referring now to FIG. 3, shown therein is a functional block diagram of the disc drive 100 of FIG. 1, including representations of the HDA (indicated by dotted line enclosure 101) and the disc drive PWA (indicated by dotted line enclosure 132).

A host computer 134, in which the disc drive 100 is contemplated as being mounted, provides top level control of a disc drive control processor 136, which in turn controls the operation of the disc drive 100 in accordance with programming and parameter values stored in dynamic random access memory (DRAM) 138 and non-volatile flash memory 140.

Data to be stored by the disc drive 100 are transferred from the host computer 134 to an interface circuit 142, which includes a data buffer for temporarily buffering the data and a sequencer for directing the operation of a read/write channel 144 and the preamp circuit 128 during data transfer operations. A spindle circuit 146 is provided to control the rotation of the discs 106.

A servo circuit 148 is provided to control the position of the head 120 relative to the disc 106 as part of a servo loop established by the head 120, the preamp circuit 128, the servo circuit 148 and the coil 113. The servo circuit 148 includes a digital signal processor (DSP) which is programmed to carry out two

-8-

main types of servo operation: seeking, which involves the movement of the head 120 from an initial track to a destination track on the disc 106, and track following, which involves the continued positioning of the head 120 over a selected track on the disc 106. The general construction and operation of such circuits are well known and discussed, for example, in United States Patent No. 5,276,662 issued January 4, 1994 to Shaver et al., United States Patent No. 5,631,999 issued May 20, 1997 to Dinsmore and United State Patent No. 5,262,907 issued November 16, 1993 to Duffy et al., each of which is assigned to the assignee of the present invention.

As is conventional, during manufacturing of the disc drive 100 various parameter values are optimized and stored in the flash memory 140 for subsequent use by the disc drive 100 during operation. Examples of typical parameter values that can be optimized include write current magnitude, prewrite compensation, servo gain, data and servo level detection thresholds, transversal equalizer tap weights, adaptive filtering settings and, in disc drives employing magneto-resistive (MR) heads, read bias current. Such parameters are well known and have been listed for purposes of illustration, not limitation. In addition to being stored in the flash memory 140, the disc drive 100 further stores these parameter values in selected service tracks on the discs 106 disposed outside the recording bands of tracks used to store user data. As is conventional, during initialization of the disc drive 100 the parameters are transferred from the flash memory 140 to various circuits of the PWA 132, such as the read/write channel 144 and the servo circuit 148, to enable these circuits to operate at an optimal level during normal operation of the disc drive 100.

Additionally, during manufacturing various product information values are stored in the EEPROM 130 by the control processor 136, which communicates with the EEPROM 130 by way of a standard serial bus (identified at 150 in FIG. 3). In a preferred embodiment, the product information is stored in the EEPROM 130 as shown in Table I.

-9-

| Bytes | Product Information   |
|-------|-----------------------|
| 0     | Product Family        |
| 1     | Product Family Member |
| 2-9   | World Wide Name       |
| 10-13 | HDA Serial Number     |
| 14-15 | Reserved              |

Table I.

5  
10 With reference to Table I., Product Family is a single byte value which identifies a particular product family, which is defined as an overall group of disc drive products that use nominally identical PWAs, firmware, and major interface configurations, but have varying HDA configurations. Product Family Member, a single byte value, identifies the particular model type from the product family, distinguished by a particular configuration for the HDA 101 (such as drive  
15 height, data capacity, number of discs, etc.).

World Wide Name, an eight byte value, is a unique identifier for the disc drive 100. As will be recognized by those skilled in the art, the World Wide Name is part of a Fibre Channel convention which allows network servers to uniquely identify each particular drive on a global network, no matter where the  
20 drive is physically located in the world. Accordingly, each Fibre Channel compatible drive is assigned its own, unique World Wide Name.

HDA Serial Number is a four byte value used internally by the disc drive manufacturer as a unique identifier for each HDA 101. The HDA Serial Number (S/N) distinguishes the HDA 101 from other nominally identical HDAs during  
25 the manufacturing process and also serves to indicate the Product Family Member of which the HDA 101 is a part. Finally, the last two bytes (bytes 14 and 15) are not used and are therefore reserved for future use. It will be readily understood that the product information of Table I. has been disclosed for purposes of illustration and is not limiting; that is, other types of electronically  
30 stored product information can readily be used in the practice of the present

-10-

invention, so long as the information serves to at least identify the configuration of the HDA 101.

It is therefore contemplated that during the manufacture of the disc drive 100, parameter values that optimize the operational performance of the disc drive 100 are selected and stored both in the flash memory 140 (FIG. 3) and on the  
5      aforementioned service tracks of the discs 106. At an appropriate point during the manufacturing process, the control processor 136 further writes the product information of Table I. associated with the disc drive 100 to the EEPROM 130 and to the flash memory 140. Thereafter, the disc drive 100 accesses the product  
10     information from the EEPROM 130 during drive initialization, in a manner that will now be discussed.

Referring to FIG. 4, shown therein is a generalized flow chart for an  
INITIALIZATION routine 200, contemplated as representative of programming  
stored in the flash memory 140 and utilized by the control processor 136 during  
15     initialization of the disc drive 100 such as, for example, when the disc drive 100 is turned on after being in a non-operational state.

As shown by block 202, the control processor 136 first operates to  
download the contents of the EEPROM 130 into the DRAM 138 using the serial  
bus 150 (FIG. 3). The control processor 136 next compares the product  
20     information from the EEPROM 130 to the product information that was  
previously stored in the flash memory 140, as indicated by block 204. As  
discussed above, when the originally installed PWA 132 remains part of the disc  
drive 100 (i.e., no PWA replacement or firmware download have taken place),  
the product information from both the EEPROM 130 (on the HDA 101) and the  
25     flash memory 140 (on the PWA 132) should match, indicating that all is well and  
initialization can proceed in a normal fashion. Such operation is indicated by  
decision block 206, which when no mismatch is detected, the flow proceeds to  
block 208 wherein a conventional spin-up calibration operation is performed. As  
will be recognized by those skilled in the art, during the spin-up calibration the  
30     disc drive 100 initiates rotation of the discs 106 up to operational speed and

-11-

performs certain fine adjustments to the servo circuit 148 in order to optimize the operation of the disc drive 100 in view of existing environmental conditions. The heads 120 are moved out over the surfaces of the discs 106 and the disc drive 100 continues its conventional initialization steps, block 210, at the conclusion of  
5 which the INITIALIZATION routine ends at 212 and the disc drive 100 notifies the host computer 134 (FIG. 3) that the drive is ready for normal operation.

However, when the PWA 132 has been replaced so that the HDA 101 is provided with a new, replacement PWA, as discussed above the parameter values stored in the flash memory 140 of the new PWA (which will also be identified  
10 herein as 132) may not necessarily reflect values that will optimize drive performance. Moreover, if the firmware of the new PWA 132 expects a different hardware configuration than that of the HDA 101, undesirable delays and/or damage can result to the disc drive 100.

Accordingly, in such case, decision block 206 will detect a mismatch  
15 between the product information electronically stored in the EEPROM 130 on the HDA 101 and the product information electronically stored in the flash memory 140 on the PWA 132. The flow of FIG. 4 will thus pass from decision block 206 to block 214, wherein the control processor 136 informs the host computer 134 to expect a delay as the disc drive 100 proceeds to compensate for the mismatch.  
20 The operation of block 214 is particularly useful when the disc drive 100 is incorporated into a computer network, as the host computer 134 may have a predetermined timeframe in which it expects the disc drive 100 to complete initialization and be ready for normal operation. Thus, the flow of FIG. 4 serves to prevent errors declared by the host computer 134 when the disc drive 100 fails  
25 to initialize within such a timeframe. For reference, the notification to the host may be made transparent to a user of the host computer 134, or alternatively, a user-readable message displayed on a monitor of the host computer 134 can be generated.

Once the host computer 134 has been notified to expect a delay, the disc  
30 drive 100 next performs a spin-up calibration at block 216 which is similar to that

-12-

as previously discussed for block 208. It is contemplated that the spin-up calibration of block 216 will likely take longer than that of block 208, as the control processor 136 may have to adjust the configuration of the firmware to an arrangement suitable for the actual hardware configuration of the HDA 101 (as reflected by the product information from the EEPROM 130) and may also need to perform calibration operations necessary to enable safe loading of the heads 120 over the discs 106.

Because the parameter values necessary to optimize operation of the HDA 101 are stored on the service tracks of the HDA 101, these parameters are next downloaded and stored in the flash memory 140, as indicated by blocks 218 and 220. As will be recognized, it may be necessary to transfer the parameter values from the discs 106 to the host computer 134 and then use the host computer 134 to write the parameter values to the flash memory 140. Such operation can also be made transparent to the user of the host computer 134, or can be made to require user intervention. It will be recognized that such routines are presently used by disc drive manufacturers to initially write the parameter values to the flash memory 140 during original disc drive manufacturing and so are well within the ability of those skilled in the art to implement. It may be desirable at this time to further update the flash memory 140 with the product information retrieved from the EEPROM 130, so as to eliminate future mismatch conditions when the disc drive 100 is subsequently initialized.

Finally, once the appropriate parameter values for the HDA 101 have been transferred to the flash memory 140, the routine of FIG. 4 continues as before to complete initialization of the disc drive 100, block 210, after which the routine ends at block 212 and the drive is ready for normal operation.

It will now be clear that the present invention provides significant advantages in that the product information associated with the HDA 101 is at all times electronically stored in the HDA 101 and accessed each time that the disc drive 100 is initialized. Accordingly, PWAs 132 can be readily swapped during manufacturing or in the field without risking host timeout errors or damage to the



-13-

drive.

Although for purposes of disclosing a preferred embodiment the EEPROM 130 has been presented herein, it will be recognized that other types of non-volatile memory devices can be readily used in the practice of the present invention. Moreover, although the flex circuit board 124 is a preferred location for the non-volatile memory device because the serial bus (150 in FIG. 3) can be incorporated into the existing flex ribbon of the flex circuit assembly 122, other locations on the HDA 101 may be selected as desired. Particularly, any location (whether interior to or exterior to the HDA 101) can be selected, as long as the non-volatile memory device remains associated with the HDA 101 should the associated PWA 132 of the disc drive 100 be removed from the HDA 101, and as long as the non-volatile memory device is electrically accessible by the PWA 132 during initialization of the disc drive 100.

In view of the foregoing, it will be understood that the present invention is directed to an apparatus and method for improving the operational performance of a disc drive (such as 100) through the identification of vital product information associated with a head-disc assembly (101) of the disc drive. The disc drive comprises a printed wiring assembly (132) housing control circuitry for the disc drive. The head-disc assembly is operably coupled to the printed wiring assembly and includes a rotatable disc (106) to which data are written by a controllably positionable head (120).

A non-volatile memory device (130) is mounted on the HDA and operably coupled to the PWA so that, when the disc drive is initialized, the PWA verifies the configuration of the HDA before proceeding with the initialization of the disc drive, thereby preventing errors and damage to the disc drive as a result of the installation of a new, replacement PWA in the disc drive or the installation of new, incorrect firmware.

For the purposes of the appended claims, it will be understood that the terms "circuit" and "circuitry" will be broadly understood as consistently used hereinabove to cover both hardware and firmware types of electronic

-14-

configurations. The phrase "head-disc assembly" will be understood to cover mechanical aspects of a disc drive without the control circuitry of an externally mounted, removable printed wiring assembly. The phrase "product information" will be understood as consistently used hereinabove to describe information that serves to at least identify the configuration of a particular HDA such as the information shown in Table I. above. The phrase "electronically stored" will be understood as consistently used hereinabove to relate to a storage configuration that is readable by a computer or similar circuit and will not be extended to cover a non-electronic form of storage, such as storage in a human-readable or optical machine-readable (i.e., barcode scanner) form, such as with adhesive labels that are applied to the exterior surface an HDA. The phrase "non-volatile memory device" will be understood as consistently used hereinabove to describe electronic device which can store data in memory and retain the stored data even when supplied power is removed from the device, such as the EEPROM 130, and will not be extended to cover magnetic storage of data on a disc such as 106.

It will be further understood that various claim steps have been identified using numbering and lettering solely as an aid in readability and understanding, so that such identification will not limit the claims to the particular ordering of the steps shown.

It will be clear that the present invention is well adapted to attain the ends and advantages mentioned as well as those inherent therein. While a presently preferred embodiment has been described for purposes of this disclosure, numerous changes may be made which will readily suggest themselves to those skilled in the art and which are encompassed in the spirit of the invention disclosed and as defined in the appended claims.

-15-

Claims:

1. A disc drive, comprising:  
a printed wiring assembly housing control circuitry for the disc drive; and  
5 a head-disc assembly, operably coupled to the printed wiring assembly,  
comprising:  
a rotatable disc;  
a controllably positionable read/write head adjacent the disc which  
transfers data between the disc and the printed wiring  
10 assembly; and  
a non-volatile memory device, operably coupled to the printed  
wiring assembly, which electronically stores product  
information identifying with the head-disc assembly.
- 15 2. The disc drive of claim 1, wherein the non-volatile memory device  
comprises an electrically erasable, electronically programmable read-only  
memory device.
- 20 3. The disc drive of claim 1, further comprising:  
a flex circuit assembly, operably coupled between the read/write head and  
the printed wiring assembly, which provides a signal path for the  
transfer of data between the disc and the printed wiring assembly,  
wherein the non-volatile memory device is mounted to the flex  
circuit assembly.
- 25 4. The disc drive of claim 1, wherein the control circuitry of the  
printed wiring assembly, upon initialization of the disc drive, reads and compares  
the electronically stored product information of the non-volatile memory device  
with product information stored in non-volatile memory of the printed wiring  
30 assembly.

-16-

5. In a disc drive of the type having a printed wiring assembly housing control circuitry for the disc drive and a head-disc assembly operably coupled to the printed wiring assembly, the head-disc assembly including a rotatable disc to which data are written by a controllably positionable head, a method for optimizing performance of the disc drive, comprising steps of:
- (a) electronically storing product information identifying with the head-disc assembly in both the printed wiring assembly and the head-disc assembly; and
  - (b) comparing the product information stored in the printed wiring assembly with the product information stored in the head-disc assembly.
6. The method of claim 5, further comprising steps of:
- (c) replacing the printed wiring assembly with a new, nominally identical printed wiring assembly in which different product information is stored;
  - (d) detecting a mismatch between the product information stored in the head-disc assembly and the product information stored in the new, nominally identical printed wiring assembly; and
  - (e) configuring the disc drive in response to the detected mismatch.
7. The method of claim 6, further comprising steps of:
- (f) updating the printed wiring assembly with the product information from the head-disc assembly.

1/3

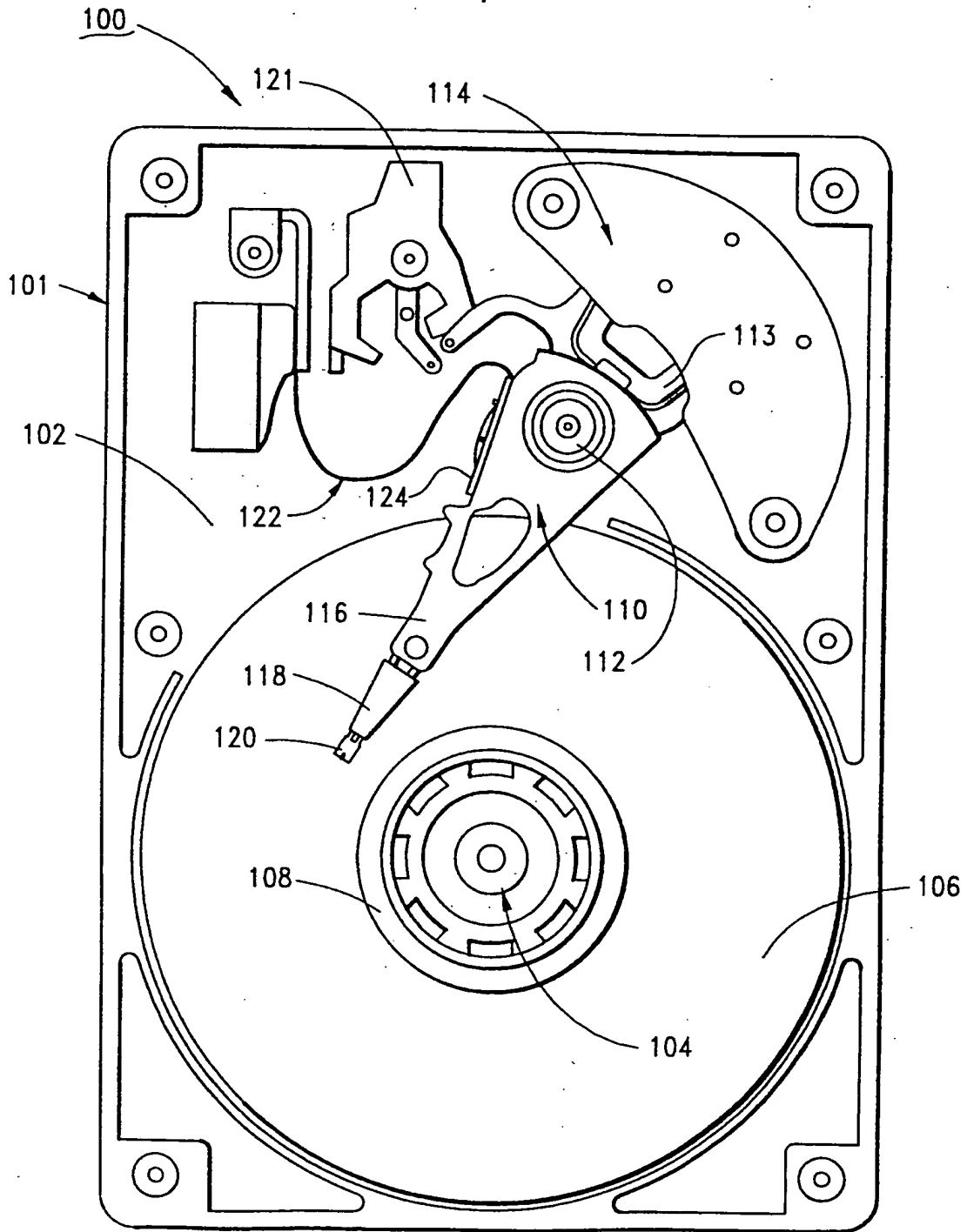
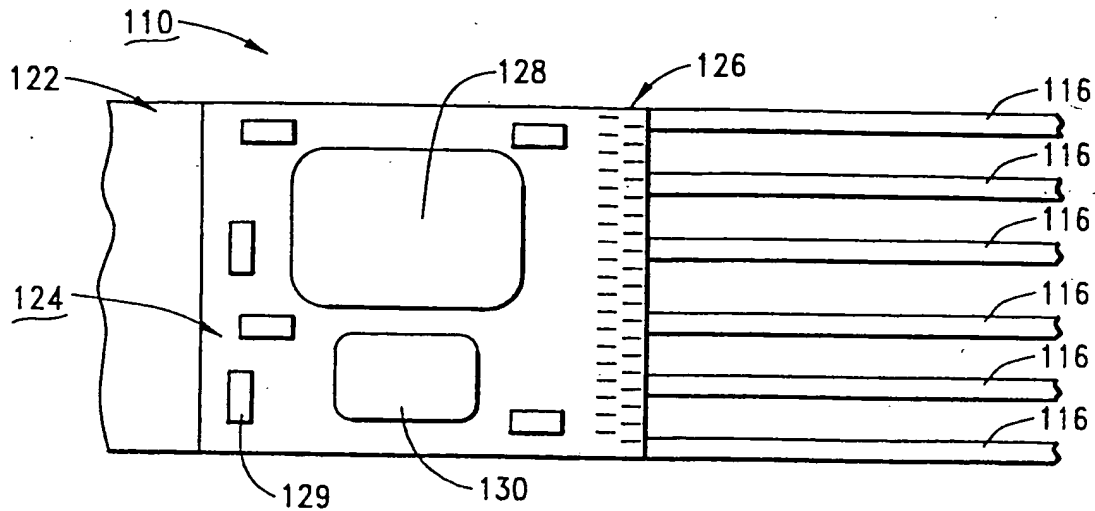
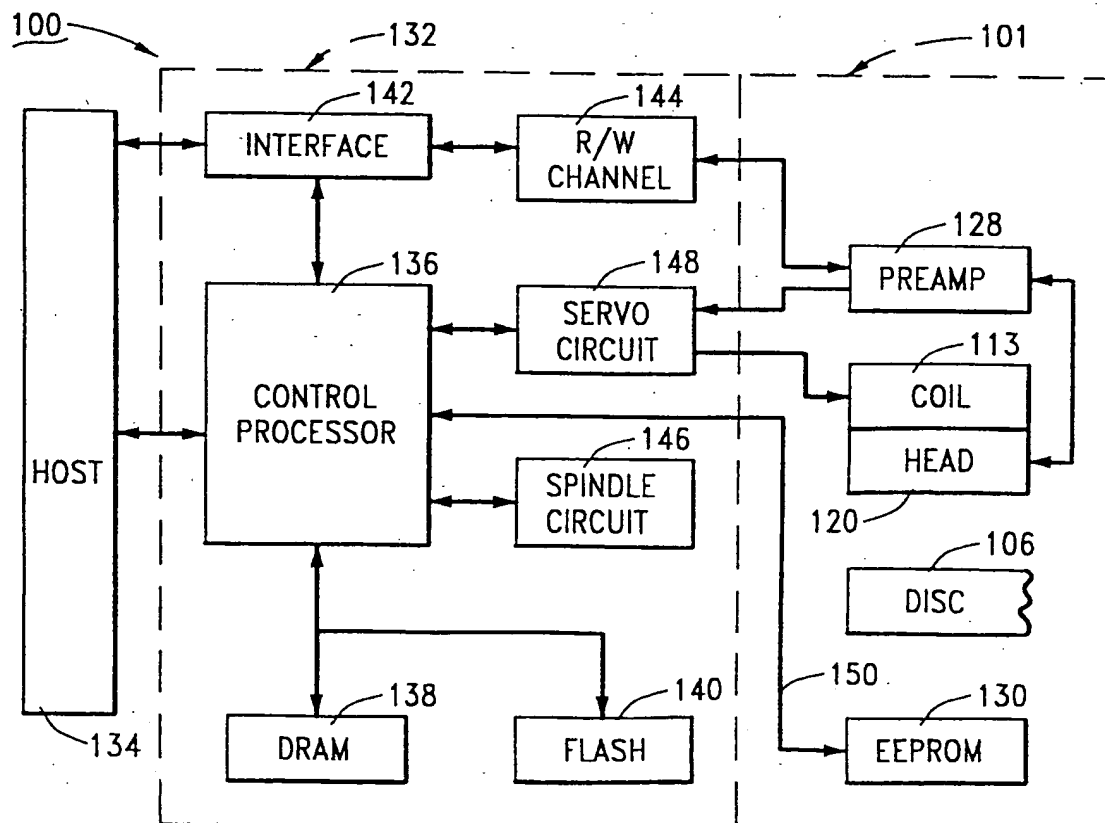
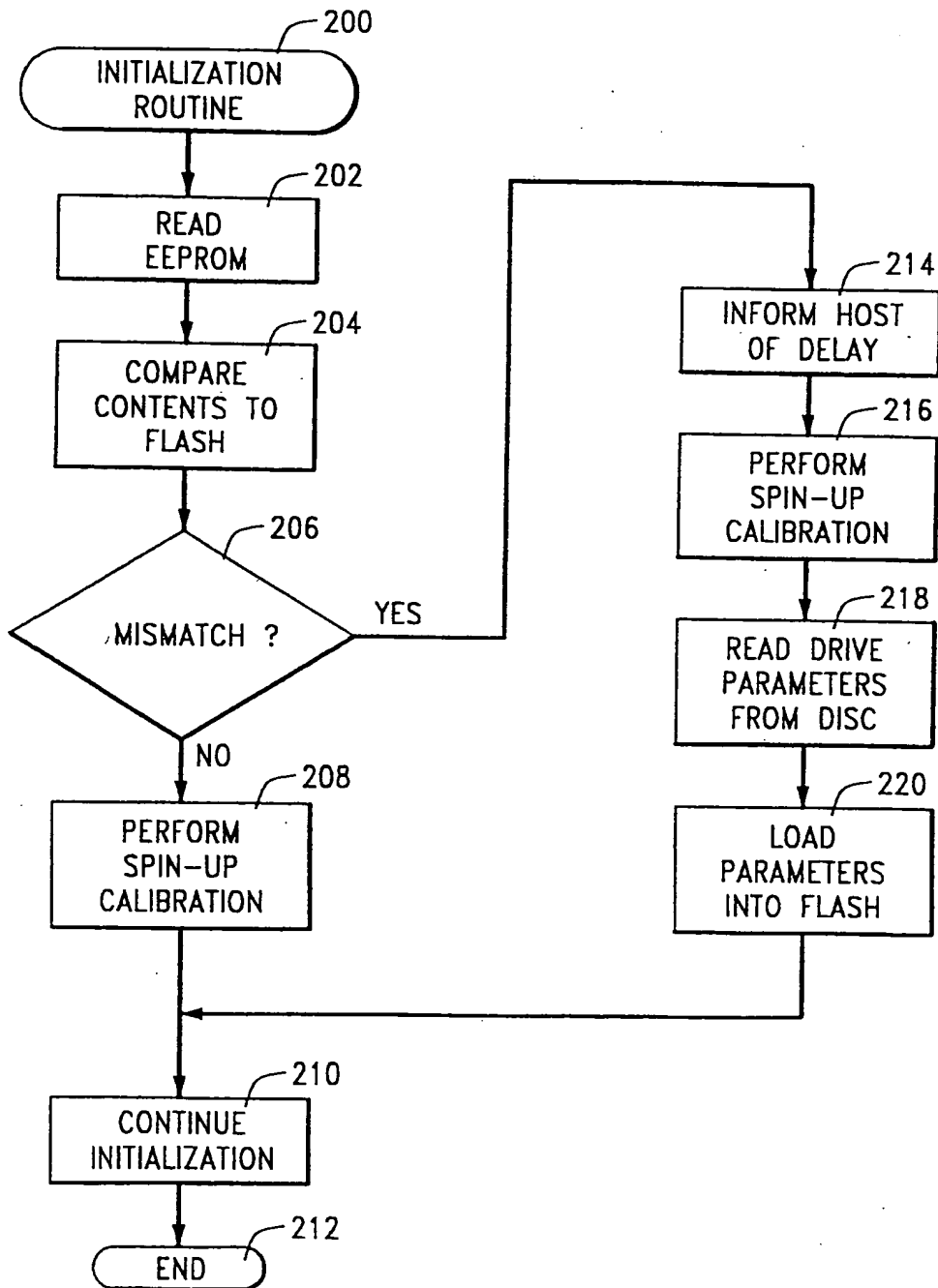


FIG. 1

2/3

FIG. 1FIG. 2

3/3

FIG. 4

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US98/11556

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : G11B 25/04, 33/10

US CL : 360/97.01, 69

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 360/97.01, 69, 106, 108

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

NONE

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS

search terms: non-volatile memory, EEPROM, disc# or disk#, product info?, serial number, compar?

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category* | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|-----------|--|-----------------------|
| X         | US 5,276,558 A (HANSON) 04 June 1994, col. 3, line 38 to col. 4, line 55.          | 1-4                   |
| X/Y       | US 5,608,910 A (SHIMAKURA) 04 March 1997, col. 8, lines 5-19.                      | 5-6/7                 |
| Y         | US 5,465,240 A (MANKOVITZ) 07 November 1995, col. 25, line 63 to col. 26, line 11. | 7                     |
| Y,P       | US 5,732,237 A (IKEDA) 24 March 1998, col. 3, lines 41-53.                         | 7                     |
| Y,P       | US 5,751,672 A (YANKOWSKI) 12 May 1998, col. 15, lines 15-26.                      | 7                     |
| A         | US 5,375,243 A (PARZYCH et al.) 20 December 1994, col. 6, lines 15-30.             | 5                     |

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

|   |  |
|---|--|
| * Special categories of cited documents:  | *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  |
| *A* document defining the general state of the art which is not considered to be of particular relevance  | *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone   |
| *E* earlier document published on or after the international filing date  | *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art |
| *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) | *Z* document member of the same patent family  |
| *O* document referring to an oral disclosure, use, exhibition or other means  |  |
| *P* document published prior to the international filing date but later than the priority date claimed  |  |

Date of the actual completion of the international search

15 SEPTEMBER 1998

Date of mailing of the international search report

15 OCT 1998

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

CRAIG A. RENNER

Telephone No. (703) 308-0559



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US98/11556

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

| Category* | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|-----------|--|-----------------------|
| A         | JP 06-295238 A (IIDA) 21 October 1994, see entire document.                        | 5                     |
| A         | US 5,572,659 A (IWASA et al.) 05 November 1996, col. 9, lines 4-19.                | 5-6                   |

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US98/11556

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

Please See Extra Sheet.

1. ☒ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

☐

The additional search fees were accompanied by the applicant's protest.

☒

No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US98/11556

## BOX II. OBSERVATIONS WHERE UNITY OF INVENTION WAS LACKING

This ISA found multiple inventions as follows:

This application contains the following inventions or groups of inventions which are not so linked as to form a single inventive concept under PCT Rule 13.1. In order for all inventions to be searched, the appropriate additional search fees must be paid.

Group I, claim(s) 1-4, drawn to a disc drive.

Group II, claim(s) 5-7, drawn to a method for optimizing performance of a disc drive.

The inventions listed as Groups I and II do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical feature(s) for the following reasons: The invention of Group I lacks the same or corresponding special technical feature(s) of "comparing the product information stored in the printed wiring assembly with the product information stored in the head-disc assembly" and/or "detecting a mismatch", for instance, of the invention of Group II. The invention of Group II lacks the same or corresponding special technical feature(s) of "a non-volatile memory device" and/or "a flex circuit assembly", for instance, of the invention of Group I.

**THIS PAGE BLANK (USPTO)**